TB 48-4 was rescinded 11/29/91 by TB 48-7. Click HERE to link to TB 48-7.

Handbook: Application Processing
Subject: Application Fee Schedule

Section: 071
TB 48-4

June 7, 1991

Guidelines to Implementation of 12 CFR Parts 502 and 563d Pertaining to Assessments

RESCINDED

Summary: This Bulletin and its attachment serve as technical amendments to the 1991 Application Fee Schedule that was included as an attachment to TB 48-2, dated November 30, 1990.

For Further Information Contact:
Your Regional Office, the Corporate Activities Division or the Corporate and Securities Division of the Office of Thrift Supervision, Washington, DC.

Thrift Bulletin 48-4

Section 502.3(b)(5)(i) of the Assessment Regulation permits the Director, or his designee, to reduce fees for particular types or categories of applications if the Director, or his designee, determines that the amount of fees for such types or categories of applications would be inequitable or unduly or unjustifiably discourage such applications. The Director has determined that it would be inequitable to require the payment of application fees to the OTS by savings associations where the OTS has appointed the Resolution Trust Corporation ("RTC") or the Federal Deposit Insurance Corporation ("FDIC") as receiver or conservator and that it would unduly and unjustifiably discourage RTC transactions. The Director has determined that eliminating such application fees will promote the timely and cost efficient resolution of failed thrifts.

Footnote (O) of the 1991 Application Fee Schedule is revised to exempt savings associations in receivership or conservatorship, where the RTC or the FDIC is acting as receiver or conservator, from payment of their portion of application fees to the OTS in RTC transactions. Such applications include, but are not limited to, sales of branch offices, purchases of branch offices, transfers of savings accounts, mergers, consolidations, transfers of assets, purchases of assets, and assumptions of liabilities. This exemption does not apply to the application fees that are required to be paid to the OTS by acquirers of savings associations in receivership or conservatorship or any of their applications in connection with RTC transactions nor does it apply to the payment of General or Premium Assessments by savings associations or examination fees for affiliates.

Footnote (T) is revised to eliminate a fee for affiliated transactions under $25,000 regardless of whether the transaction involves real estate.

Form H-(e)4 under the Holding Company category was inadvertently listed twice on the fee schedule. The second Form H-(e)4 is revised to read as Form H-(e)1-S. The corresponding fee of $2,000(F)(Z) remains the same.

Attachment

— Jonathan L. Fiechter
Deputy Director for Washington Operations

TECHNICAL AMENDMENTS TO THE 1991 APPLICATION FEE SCHEDULE

The technical amendments listed below serve as a supplement to the 1991 Application Fee Schedule that was included as an attachment to TB 48-2, dated November 30, 1990. The Application Fee Schedule will otherwise remain in full force and effect.

Holding Company	(P)
Form H-(e)1	$18,100(E)
Form H-(e)2	$18,100(E)
Form H-(e)3	$18,100(E)(X)
Form H-(e)4	$ 1,000
Form H-(e)1-S	**$ 2,000(F)(Z)**

Footnote (O) The fee for RTC transactions is the same fee required for other transactions. Any application for a *de novo* charter will only be charged the applicable fee for a Change of Control application (when the *de novo* will be controlled by an individual(s)) or a Holding Company application (when the *de novo* will be controlled by a company). Fees for RTC transactions will only be charged to the winning bidder(s). Approvals of RTC transactions will be conditioned upon payment of those fees. Associations in receivership or conservatorship, where the RTC or the FDIC is acting as the receiver or conservator, are exempt from the payment of application fees to the OTS in RTC transactions. Such applications include, but are not limited to, sales of branch offices, purchases of branch offices, transfers of savings accounts, mergers, consolidations, transfers of assets, purchases of assets, and assumptions of liabilities. This exemption does not apply to the application fees that are required to be paid to the OTS by acquirers of savings associations in receivership or conservatorship or any of their applications in connection with RTC transactions nor does it apply to the payment of General or Premium Assessments by savings associations or examination fees for affiliates.

Footnote (T) A fee is not required for affiliated transactions under $25,000.